FOR: CIVILIAN PERSONNEL POLICY COUNCIL MEMBERS

FROM: Defense Civilian Personnel Advisory Service Director, Ms. Michelle LoweSolis

SUBJECT: Temporary Waiver to Portions of the Direct Hire Authority for Certain Personnel of the Department of Defense

ACTION: Disseminate to Department of Defense (DoD) Human Resources Practitioners and Hiring Managers

REFERENCES:

a. Under Secretary of Defense for Personnel and Readiness memorandum, “Waiver of Requirement to Apply Title 5, United States Code, Section 3326, When Using Direct Hire Authority for Certain Positions Supporting the Response to the Novel Coronavirus Disease Outbreak,” dated April 24, 2020 (attached)

BACKGROUND/INTENT: Effective immediately, reference (a) temporarily waives the requirement to apply section 3326 of title 5, United States Code, for the following positions hired under this authority: medical or health professions, acquisition, engineering, installation infrastructure, and other positions with duties that support the DoD response to the National Emergency Concerning the Novel Coronavirus (COVID-19) Outbreak.

All other provisions of the reference (b) will continue to apply.

This exception to the reference expires on November 1, 2020.

POINT OF CONTACT: Ms. Melissa Lalonde, Associate Director, Employment and Compensation, 571-372-1557 or by email at melissa.a.lalonde.civ@mail.mil.

Attachment: As stated
MEMORANDUM FOR CHIEF MANAGEMENT OFFICER OF THE DEPARTMENT OF DEFENSE
SECRETARIES OF THE MILITARY DEPARTMENTS
CHAIRMAN OF THE JOINT CHIEFS OF STAFF
UNDER SECRETARIES OF DEFENSE
CHIEF OF THE NATIONAL GUARD BUREAU
GENERAL COUNSEL OF THE DEPARTMENT OF DEFENSE
DIRECTOR OF COST ASSESSMENT AND PROGRAM EVALUATION
INSPECTOR GENERAL OF THE DEPARTMENT OF DEFENSE
DIRECTOR OF OPERATIONAL TEST AND EVALUATION
CHIEF INFORMATION OFFICER OF THE DEPARTMENT OF DEFENSE
ASSISTANT SECRETARY OF DEFENSE FOR LEGISLATIVE AFFAIRS
ASSISTANT TO THE SECRETARY OF DEFENSE FOR PUBLIC AFFAIRS
DIRECTOR OF NET ASSESSMENT
DIRECTORS OF DEFENSE AGENCIES
DIRECTORS OF DOD FIELD ACTIVITIES

SUBJECT: Waiver of Requirement to Apply Title 5, United States Code, Section 3326, When Using Direct Hire Authority for Certain Positions Supporting the Response to the Novel Coronavirus Disease Outbreak


Effective immediately, the requirement to apply 5 U.S.C. § 3326, as provided in the reference, is temporarily waived for the following positions:

• Medical or health professions;
• Acquisition;
• Engineering;
• Installation infrastructure; and
• Other positions with duties that support the DoD response to the National Emergency Concerning the Novel Coronavirus Disease (COVID-19) Outbreak.

All other provisions of the reference continue to apply. This exception to the reference expires on November 1, 2020.
For more information, my point of contact is Ms. Melissa Lalonde, Associate Director, Employment and Compensation, Defense Civilian Personnel Advisory Service, whom you may reach at (571) 372-1557 or melissa.a.lalonde.civ@mail.mil.

Matthew P. Donovan
MEMORANDUM FOR CHIEF MANAGEMENT OFFICER OF THE DEPARTMENT OF DEFENSE
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SUBJECT: Direct Hire Authority for Certain Personnel of the Department of Defense

Reference: Title 5, United States Code, Section 9905

Section 1109 of the National Defense Authorization Act for Fiscal Year 2020 amended section 9905 of title 5, United States Code (U.S.C.), to permit the Secretary of Defense to non-competitively appoint qualified candidates to certain positions in the competitive service in the DoD without regard to chapter 33, subchapter I of title 5, U.S.C., other than sections 3303 and 3328. For purposes of this policy, sections 3321, 3323, and 3326 of chapter 33, subchapter I of title 5, U.S.C., and corresponding Code of Federal Regulations provisions will continue to apply.

As set forth in attachment 1, this memorandum provides implementing procedures for use of this authority, and supersedes the memorandums listed in attachment 2, which are hereby canceled. No appointment may be made under this authority after September 30, 2025 unless otherwise noted.

For more information, my point of contact is Ms. Melissa Lalonde, Associate Director, Employment and Compensation, Defense Civilian Personnel Advisory Service, who may be reached at (571) 372-1557 or at melissa.a.lalonde.civ@mail.mil

Matthew P. Donovan

Attachments:
As stated
CONSOLIDATION OF DIRECT HIRE AUTHORITIES FOR THE DEPARTMENT OF
DEFENSE IN 5 U.S.C. § 9905

1. **Authority**

   a. Section 1109 of the National Defense Authorization Act (NDAA) for Fiscal Year (FY) 2020 amended Section 9905 of title 5, United State Code (U.S.C.) to incorporate, streamline, and simplify certain Department of Defense (DoD) civilian hiring authorities. It adds covered positions for which this authority may be used and suspends other hiring authorities.

   b. Section 9905 of title 5, U.S.C., authorizes the Secretary of Defense to appoint qualified candidates to certain positions in the competitive service in the DoD without regard to chapter 33, subchapter I of title 5, U.S.C., other than sections 3303 and 3328. As a matter of policy, sections 3321, 3323 and 3326 of chapter 33, subchapter I of title 5, U.S.C., and corresponding Code of Federal Regulations provisions will continue to apply.

      (1) The authority to appoint qualified persons under this direct hire authority (DHA) is delegated to Secretaries of the Military Departments, Directors of the Defense Agencies, and Directors of the DoD Field Activities with independent appointing authority; hereafter referred to as “DoD Components.” The authority may be used for covered positions throughout DoD.

      (2) Appointments under this authority may not be made after September 30, 2025, except for positions covered by paragraph 3.e., for which the authority does not expire.

      (3) The authority should primarily be used to appoint qualified candidates who are not existing DoD competitive service employees with permanent status. DoD Components should follow their Merit Promotion Plans to enable movement of the existing DoD competitive service workforce. Use of internal merit promotion procedures will ensure transparency, accountability, and adherence to merit systems principles.

2. **Use of Direct Hire Authority**

   This DHA enables DoD to recruit and appoint qualified persons directly without applying competitive rating and ranking procedures. The following principles shall be followed when exercising this authority:

   a. A highly-qualified workforce is critical to DoD’s mission.

   b. Recruitment efforts should be expansive enough to ensure, to the extent possible, that a diverse candidate pool exists.

   c. Merit factors shall be the basis for selecting individuals for positions. All personnel programs and practices shall be administered in accordance with DoD Directive 1020.02E, “Diversity Management and Equal Opportunity in the DoD.”
d. DoD Components must ensure transparency, accountability, and auditability in hiring processes.

3. Covered Positions

This DHA can be used to appoint individuals at the General Schedule (GS)-15 and below (or equivalent) pay grades to positions meeting one of the following specifications:

a. Positions involved with DoD maintenance activities, such as maintenance of weapon systems, hardware, equipment, software, installation infrastructure, or any combination thereof, including depot-level maintenance and repair.

b. Positions in the cyber workforce (also known as “cyberspace workforce”) as defined in DoDD 8140.01, designated with a cyber work role code.

c. Positions in the acquisition workforce that are responsible for managing any services contracts necessary to the operation and maintenance of programs of the DoD.

d. Positions in science, technology, or engineering, including any such position at the Major Range and Test Facilities Base, in order to allow development of new systems and provide for the maintenance of legacy systems.

e. Positions in science, technology, engineering, or mathematics, including technician positions, within the defense acquisition workforce, or any category of acquisition positions within the DoD designated by the Secretary as a shortage or critical need category, as outlined in Table 1.

f. Positions in science, technology, engineering, or mathematics, except any such position within any defense Science and Technology Reinvention Laboratory, for which a qualified candidate is required to possess a bachelor’s degree or an advanced degree, or for which a veteran candidate is being considered.

g. Positions in medical or health professions with the DoD designated by the Secretary as a shortage category or critical need occupation, as outlined in Table 2.

h. Positions in childcare services, including family childcare coordinator services and school age childcare coordinator services, for which there is a critical hiring need and a shortage of childcare providers, as outlined in Table 3.

i. Positions in financial management, accounting, auditing, actuary, cost estimation, operational research, business, or business administration for which a qualified candidate is required to possess a finance, accounting, management, or actuarial science degree or a related degree, or a related degree of equivalent experience.

j. Positions, as determined by the Secretary, for the purpose of assisting and facilitating the efforts of the DoD in business transformation and management innovation.
4. Definitions

a. A “critical hiring need” and “shortage” may occur when the need to fill positions creates an operational hardship in meeting mission requirements brought about by circumstances such as, but not limited to, unusual or unanticipated events, extraordinary workload, or new or emerging mission requirement creating the need to fill positions.

b. For the purposes of 3(c) and 3(e) above, qualified candidates meet or will meet Defense Acquisition Workforce Improvement Act certification requirements within the required timeframe for appointment to the position being filled.

c. For the purposes of 3(i), qualified candidates are defined as individuals who possess a finance, accounting, management, actuarial science, or related degree from an accredited college or university, or equivalent experience relevant to the functions of the position being filled. In addition, qualified candidates meet or will meet the DoD Financial Management Certification Program requirements, within the required timeframe, for appointment to the position being filled, as applicable.

d. For the purposes of 3(j), business transformation is defined as the process of fundamentally changing the systems, processes, people, and technology across a whole business or business unit, to achieve measurable improvements in efficiency, effectiveness and stakeholder satisfaction.

   (1) To use category 3(j), positions must involve work executing continuous process improvement and/or organizational change across an entire business unit.

   (2) Business transformation and management innovation appointees must have:

      (i) A management or business background,

      (ii) Experience working with large or complex organizations; and

      (iii) Demonstrated expertise executing, via recognized, repeatable business improvement methods, at least one of the following: management or organizational change, data analytics, or business process design.

5. Announcement and Assessment Process

a. Public notice is not required; however, if posting job opportunity announcements, DoD Components must use announcements that are concise and easily understood. Public notice is appropriate if the area of consideration includes current DoD competitive service employees with permanent status.

   (1) DoD Components will establish recruiting procedures that facilitate the identification of qualified individuals for referral to management for selection and appointment.
(2) DoD Components must ensure that Merit System Principles are followed when posting advertisements and announcements, receiving applications, referring candidates, and notifying applicants regarding receipt and status of their applications.

(3) Potential applicants should have ready access to information about how to apply for positions, and the basis on which they will be assessed to meet the qualifying criteria.

   b. DoD Components will assess candidates against job-related criteria, ensuring they have the skills, education, training, and behavioral attributes that provide for successful job performance.

(1) Selectees for entry level positions requiring the Administrative Careers With America (ACWA) assessment must be assessed using the most recent, streamlined ACWA examination or a validated alternative assessment instrument (e.g., select USA HIRE assessments).

c. Appointments under this authority are subject to the Priority Placement Program. Procedures applicable to the use of direct hiring authority may be found in the Priority Placement Program Handbook, available at (https://www.dcpas.osd.mil/Content/documents/EC/PPP_Handbook_112019.pdf).

6. Appointing Authority

Appointments may be made on a permanent, term, or temporary basis using the following Legal Authority Code/Legal Authority:

   Z5CAC/Modified Direct Hire Auth, Section 1109; PL, 116-92, dated 12/20/2019.

7. Oversight and Accountability

Each DoD Component is responsible for determining the appropriate use of this DHA to meet workforce needs, ensuring implementation is in accordance with Merit System Principles and applicable collective bargaining agreements.

   a. The Under Secretary of Defense for Personnel and Readiness is responsible for the development of implementing guidance and policies.

   b. Defense Civilian Personnel Advisory Service (DCPAS) will oversee and monitor use of this authority throughout the DoD.

   c. DoD Components are responsible for oversight, accountability, and reporting for themselves and their serviced organizations. Components are required to report on the usage and effectiveness of the authority as requested by DCPAS.

   d. Documentation for appointments made under this authority must be sufficient to
allow reconstruction of actions taken and must be maintained for a time frame consistent with other appointing authorities (e.g., resumes, job opportunity announcements, candidate referral lists, if applicable).

e. Appointments under this authority will be evaluated as part of the DoD Human Capital Framework.

8. Reporting

a. DoD Components must report to DCPAS by October 31st with an analysis of DHA usage, to include narrative on hiring improvements and adherence to merit system principles. Reports must include the following:

(1) Number of employees hired by position, series, and grade/pay band/level;

(2) Number of veterans hired;

(3) Number of military spouses hired;

(4) Number of internal candidates (i.e. current employees) hired; and,

(5) Quantifiable effectiveness in meeting Component staffing efforts.

9. Requesting Additional Positions Coverage

a. DoD Components may request additional position coverage if they find there is a critical hiring need or shortage of candidates for positions under 3(e), 3(g) and 3(h). Submissions must be endorsed by the DoD Component headquarters of the civilian human resources policy office and shall be submitted to DCPAS for approval by the Under Secretary of Defense for Personnel and Readiness.

b. Requests for additional coverage should include the following information:

(1) Recruitment needs, to include the current number of positions being recruited and the projected recruitment needs (projected retirements, projected turnover, increased/new workload);

(2) Supply gap, to include vacancy lapse rate, and market data analysis of labor market demand; and

(3) Past recruitment efforts (e.g., job fairs, compensation incentives) and evidence of ineffectiveness.
### TABLE 1. Acquisition Career Fields

<table>
<thead>
<tr>
<th>Career Field</th>
<th>Series/Occupation Title</th>
</tr>
</thead>
<tbody>
<tr>
<td>AUDITING (AUD)</td>
<td>PRODUCTION, QUALITY AND MANUFACTURING (PQM)</td>
</tr>
<tr>
<td>BUSINESS - COST ESTIMATING (BCE)</td>
<td>PROGRAM MANAGEMENT (PM)</td>
</tr>
<tr>
<td>BUSINESS - FINANCIAL MANAGEMENT (BFM)</td>
<td>SCIENCE AND TECHNOLOGY MANAGEMENT (S&amp;T)</td>
</tr>
<tr>
<td>CONTRACTING (CON)</td>
<td>ENGINEERING (ENGR)</td>
</tr>
<tr>
<td>FACILITIES ENGINEERING (FE)</td>
<td>TEST AND EVALUATION (T&amp;E)</td>
</tr>
<tr>
<td>INFORMATION TECHNOLOGY (IT)</td>
<td>SMALL BUSINESS (SB)</td>
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<tr>
<td>LIFE CYCLE LOGISTICS (LCL)</td>
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</tr>
</tbody>
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### TABLE 2. Series/Occupation Title

<table>
<thead>
<tr>
<th>Series/Occupation Title</th>
<th>180 PSYCHOLOGIST</th>
</tr>
</thead>
<tbody>
<tr>
<td>180 PSYCHOLOGIST</td>
<td>648 THERAPEUTIC RADIOLOGIC TECHNOLOGIST</td>
</tr>
<tr>
<td>181 PSYCHOLOGY AID &amp; TECHNICIAN</td>
<td>649 MEDICAL INSTRUMENT TECHNICIAN</td>
</tr>
<tr>
<td>185 SOCIAL WORKER</td>
<td>651 RESPIRATORY THERAPIST</td>
</tr>
<tr>
<td>186 SOCIAL SERVICES AID &amp; ASSISTANT</td>
<td>660 PHARMACIST</td>
</tr>
<tr>
<td>601 GENERAL HEALTH SCIENCE</td>
<td>661 PHARMACY TECHNICIAN</td>
</tr>
<tr>
<td>602 MEDICAL OFFICER / PHYSICIAN</td>
<td>662 OPTOMETRIST</td>
</tr>
<tr>
<td>603 PHYSICIAN ASSISTANT</td>
<td>665 SPEECH PATHOLOGIST &amp; AUDIOLOGIST</td>
</tr>
<tr>
<td>610 NURSE</td>
<td>667 ORTHOTIST &amp; PROSTHETIST</td>
</tr>
<tr>
<td>620 PRACTICAL NURSE</td>
<td>668 PODIATRIST</td>
</tr>
<tr>
<td>621 NURSING ASSISTANT</td>
<td>669 MEDICAL RECORDS ADMINISTRATOR</td>
</tr>
<tr>
<td>630 DIETITIAN &amp; NUTRITIONIST</td>
<td>671 HEALTH SYSTEM SPECIALIST</td>
</tr>
<tr>
<td>631 OCCUPATIONAL THERAPIST</td>
<td>675 MEDICAL RECORDS TECHNICIAN</td>
</tr>
<tr>
<td>633 PHYSICAL THERAPIST</td>
<td>680 DENTAL OFFICER / DENTIST</td>
</tr>
<tr>
<td>636 REHABILITATION THERAPY ASSISTANT</td>
<td>681 DENTAL ASST (EXP FUNC DENTAL AUX)</td>
</tr>
<tr>
<td>640 HEALTH AID &amp; TECHNICIAN</td>
<td>682 DENTAL HYGIENIST</td>
</tr>
<tr>
<td>642 NUCLEAR MEDICINE TECHNICIAN</td>
<td>683 DENTAL LABORATORY AID &amp; TECHNICIAN</td>
</tr>
<tr>
<td>644 MEDICAL TECHNOLOGIST</td>
<td>690 INDUSTRIAL HYGIENIST</td>
</tr>
<tr>
<td>645 MEDICAL TECHNICIAN</td>
<td>701 VETERINARIAN</td>
</tr>
<tr>
<td>647 DIAGNOSTIC RADIOLOGIC TECHNOLOGIST</td>
<td>858 BIOENGINEER &amp; BIOMEDICAL ENGINEER</td>
</tr>
</tbody>
</table>

### TABLE 3. Childcare Providers

| 1701 GENERAL EDUCATION AND TRAINING            | 1702 EDUCATION AND TRAINING TECHNICIAN             |
LIST OF DIRECT HIRE AUTHORITIES CONSOLIDATED BY 5 U.S.C. § 9905
HEREBY CANCELED


